

# Causal exclusion and causal Bayes nets\*

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**Abstract:** In this paper I reconstruct and evaluate the validity of two versions of causal exclusion arguments within the theory of causal Bayes nets. I argue that supervenience relations formally behave like causal relations. If this is correct, then it turns out that both versions of the exclusion argument are valid when assuming the causal Markov condition and the causal minimality condition. I also investigate some consequences for the recent discussion of causal exclusion arguments in the light of an interventionist theory of causation such as Woodward's (2003) and discuss a possible objection to my causal Bayes net reconstruction.

## 1 Introduction

Causal exclusion arguments, most famously advanced by Kim (1989, 2000, 2003, 2005), can be used as arguments for epiphenomenalism or as arguments against non-reductive physicalism. Epiphenomenalism is the view that “mental events

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are caused by physical events in the brain, but have no effects upon any physical events” (Robinson, 2015). Non-reductive physicalism, on the other hand, basically consists of three assumptions: Mental properties supervene on physical properties, mental properties cannot be reduced to physical properties, and mental properties are causally efficacious (cf. Kim, 2005, p. 33).

In a nutshell, exclusion arguments assume non-reductive physicalism and conclude from several premises that mental properties supervening on physical properties cannot cause physical or other mental properties. The notion of causation used in these arguments is, however, typically somewhat vague and not specified in detail. Because of this, the validity of these arguments may depend on the specific theory of causation endorsed (cf. Hitchcock, 2012). Throughout the paper I treat theories of causation as tools for providing information about the world’s true causal structure and about the causal efficacy of properties on other properties. So a theory of causation may be better w.r.t. providing such information than another theory. If two such theories lead to different results about the validity of exclusion arguments, then the one providing more information relevant for exclusion arguments should be favored when evaluating the validity of such arguments.

In this paper I reconstruct two versions of exclusion arguments and evaluate their validity within a particular theory of causation, *viz.* the theory of causal Bayes nets. The theory of causal Bayes nets (CBNs) evolved from the Bayes net formalism (Neapolitan, 1990; Pearl, 1988). It was elaborated in detail by researchers such as Pearl (2000) and Spirtes, Glymour, and Scheines (2000). The theory connects causal structures to probability distributions and provides powerful methods for causal discovery, prediction, and testing of causal hypotheses. Furthermore, its core axioms can be justified by an inference to the best explanation (see Schurz, 2008 for a general approach) of certain statistical

phenomena, and several versions of the theory can be proven to have empirical content, by whose means not only the theory's models, but also the theory as a whole becomes empirically testable (Schurz & Gebharder, 2015). So the theory of CBNs probably gives us the best empirical grasp on causation we have so far. Hence, it allows for an empirically informed treatment of causation in causal exclusion arguments, and thus, also for an empirically informed evaluation of the validity of such arguments.

Another strong motivation for this endeavor is that causal exclusion arguments have recently been intensively discussed (cf., e.g., Baumgartner, 2009, 2010; Eronen, 2012; Raatikainen, 2010; Shapiro, 2010; Shapiro & Sober, 2007; Woodward, 2008, 2014) within an interventionist framework of causation à la Woodward (2003), and that interventionist accounts do have a natural counterpart within the theory of CBNs (cf., e.g., Gebharder & Schurz, 2014 or Zhang & Spirtes, 2011). So the hope is that we can draw as of yet unconsidered conclusions for the interventionist debate surrounding causal exclusion arguments from a reconstruction on the basis of the theory of CBNs. This seems especially promising since one of the main problems interventionists have when testing causal efficacy of properties standing in supervenience relationships to other properties is that these properties cannot be simultaneously manipulated by interventions (for details, see section 4). So the interventionist account seems to have some kind of a blind spot when it comes to testing causal efficacy of such properties. The theory of CBNs, on the other hand, provides a neat and simple test for causal efficacy not requiring fixability by means of interventions.

The paper is structured as follows: In section 2 I briefly introduce two variants of the causal exclusion argument. In section 3, which is the main section of the paper, I reconstruct these two variants within the theory of CBNs and evaluate their validity. This requires an answer to the question of how super-

venience relationships should be represented in CBNs and a test for evaluating whether the instantiation of a property  $X$  at least sometimes contributes something to the occurrence of another property  $Y$ . I will argue that supervenience relationships can be treated similar to a CBN's causal arrows. This assumption will be crucial for my argumentation in subsequent sections. A method for testing a property's causal efficacy is already implemented in the productivity condition, which can be proven to be equivalent to one of the theory of CBN's core axioms, *viz.* the causal minimality condition (cf. [Spirtes et al., 2000](#), p. 31). I conclude [section 3](#) by demonstrating that mental properties supervening on physical properties cannot be causally efficacious if causal as well as supervenience relations are assumed to obey the core axioms of the theory of causal nets. In [section 4](#) I investigate the consequences of these findings for the interventionist debate on the causal exclusion argument. In [section 5](#) I defend my suggestion to treat supervenience relationships similar to causal arrows against an objection raised by [Woodward \(2014\)](#). I conclude in [section 6](#).

## 2 The causal exclusion argument

Causal exclusion arguments (cf. [Kim, 1989, 2000, 2003, 2005](#)) typically come in two variants (cf. [Harbecke, 2013](#)): (i) arguments against the causal efficacy of mental properties on physical properties, and (ii) arguments against the causal efficacy of mental properties on other mental properties. In this paper we will have a look at both variants.

The diagram in [Figure 1](#) (which is adapted from [Kim, 2005](#)) can be used to illustrate both versions of the causal exclusion argument.  $P_1$  and  $P_2$  stand for physical properties, while  $M_1$  and  $M_2$  stand for mental properties.  $P_1$ ,  $P_2$ ,  $M_1$ , and  $M_2$  are assumed to be pairwise non-identical. Furthermore, we also assume that there is no spatio-temporal overlap of  $P_1$  and  $P_2$ . Double-tailed arrows

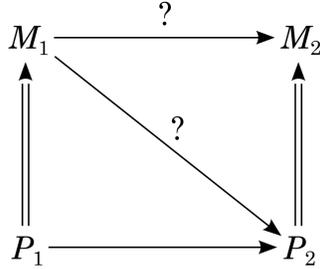


Figure 1: Diagram for illustrating the two versions of the causal exclusion argument. Single-tailed arrows stand for direct causal relations, while double-tailed arrows indicate supervenience relationships.

( $\implies$ ) represent relationships of supervenience. So  $M_1$  supervenes on  $P_1$ , and  $M_2$  supervenes on  $P_2$ , meaning that every change in  $M_1$  and  $M_2$  is necessarily associated with a change in  $P_1$  and  $P_2$ , respectively (cf. McLaughlin & Bennett, 2011). In addition, we assume that  $P_1$  and  $P_2$  fully determine  $M_1$  and  $M_2$ , respectively. So the occurrence of  $P_1$  and  $P_2$  suffices for the instantiation of  $M_1$  and  $M_2$ , respectively. Alternatively we can say that  $P_1$  constitutes  $M_1$  and that  $P_2$  constitutes  $M_2$ .<sup>1</sup>

Single-tailed arrows ( $\longrightarrow$ ) represent direct causal relationships. So  $P_1$  is a direct cause of  $P_2$ . Because we assume the completeness of the physical domain, i.e., that every physical property has a sufficient physical cause, also  $P_2$  has a sufficient physical cause.<sup>2</sup> We assume  $P_1$  to be that cause, and hence,  $P_1$ 's occurrence determines  $P_2$ 's occurrence. Now the question is whether we can

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<sup>1</sup>The properties I called supervenience and constitution here are typically combined by assuming strong supervenience (cf. Kim, 2003, p. 151). However, I prefer to separate them in this paper.

<sup>2</sup>According to the completeness of the physical, also  $P_1$  will have a sufficient physical cause. Since  $P_1$ 's physical causes, however, will not be relevant for the argument, we do not represent them in the diagram.

draw single-tailed arrows from  $M_1$  to  $P_2$  and from  $M_1$  to  $M_2$ , i.e., whether  $M_1$  can cause  $P_2$  or  $M_2$ . This question is represented by the question marks over the single-tailed arrows  $M_1 \rightarrow P_2$  and  $M_1 \rightarrow M_2$  in the diagram.

Version (i) of the causal exclusion argument roughly goes as follows:  $P_1$ ,  $P_2$ ,  $M_1$ , and  $M_2$  are instantiated. Now let us ask why  $P_2$  is instantiated. Because of the causal completeness of the physical,  $P_1$ 's instantiation suffices for  $P_2$ 's instantiation. So  $P_2$  is instantiated because  $P_1$  is. Since  $P_1$ 's occurrence necessitates  $P_2$ 's occurrence, there is nothing the instantiation of  $M_1$  could contribute to  $P_2$ 's occurrence. Hence,  $M_1$  has no causal influence on  $P_2$ .

Version (ii) of the argument roughly goes as follows:  $P_1$ ,  $P_2$ ,  $M_1$ , and  $M_2$  are instantiated. Now the crucial question is why  $M_2$  is instantiated.  $M_2$  is constituted, and thus, fully determined by its physical supervenience base  $P_2$ . So  $P_2$ 's occurrence suffices for  $M_2$ 's occurrence. Hence,  $M_2$  is instantiated because  $P_2$  is. Since  $P_2$ 's occurrence necessitates  $M_2$ 's occurrence, there is nothing left the instantiation of  $M_1$  could contribute to  $M_2$ 's occurrence. Thus,  $M_1$  cannot cause  $M_2$ .<sup>3</sup>

One assumption both versions of the exclusion argument require is that a cause's instantiation contributes at least sometimes something to the occurrence of its direct effects. This assumption is highly plausible in the light of Occam's razor, which states that one should assume theoretical entities (e.g., direct causal relations) only when they are required to explain otherwise unexplainable empirical facts. It will play a major role in the reconstruction of the

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<sup>3</sup>I am indebted to Wlodek Rabinowicz for pointing out to me that one could conclude that  $P_1$  cannot be a cause of  $M_2$  by a similar argumentation, which even epiphenomenalists might find counterintuitive. However, the epiphenomenalist could solve this problem by interpreting constitution as a causal relation: She could then conclude that  $P_1$  cannot be a direct cause of  $M_2$ , but that  $P_1$  can be an indirect cause of  $M_2$ .  $P_1$  first directly causes  $P_2$ , which then directly causes  $M_2$ . Note that  $M_1$ —contrary to  $P_1$ —cannot even be an indirect cause of  $M_2$ .

causal exclusion argument in terms of causal Bayes nets.

### 3 Causal exclusion and causal Bayes nets

In this section I reconstruct both versions of the causal exclusion argument and evaluate their validity on the basis of the empirically well-informed theory of causal Bayes nets. I start with introducing important notions and the core axioms of the theory of CBNs. A causal model is a triple  $\langle \mathbf{V}, \mathbf{E}, P \rangle$  in which  $\mathbf{V}$  is a set of variables,  $\langle \mathbf{V}, \mathbf{E} \rangle$  is a directed acyclic graph (DAG) over  $\mathbf{V}$ , and  $P$  is a probability distribution over  $\mathbf{V}$ . The DAG  $\langle \mathbf{V}, \mathbf{E} \rangle$  represents the modeled system's causal structure, where  $X_i \rightarrow X_j$  means that  $X_i$  is a direct cause of  $X_j$  (w.r.t.  $\mathbf{V}$ ). The set of all direct causes of a variable  $X_i$  in a causal model is called the set of  $X_i$ 's parents  $\mathbf{Par}(X_i)$ . The union of the set of all effects of a variable  $X_i$  (i.e., the set of all  $X_j$  with  $X_i \rightarrow \dots \rightarrow X_j$ ) in a causal model and  $\{X_i\}$  is called the set of  $X_i$ 's descendants  $\mathbf{Des}(X_i)$ .

A causal model's probability distribution  $P$  represents the causal strengths of the causal influences propagated along the causal arrows. We define probabilistic dependence of a variable  $X$  on another variable  $Y$  conditional on a variable (or a set of variables)  $Z$ — $Dep(X, Y|Z)$  for short—as  $P(x|y, z) \neq P(x|z) \wedge P(y, z) > 0$  for some  $X$ -,  $Y$ -, and  $Z$ -values  $x$ ,  $y$ , and  $z$ , respectively.  $X$ 's probabilistic independence from  $Y$  conditional on  $Z$ — $Indep(X, Y|Z)$  for short—is defined as the negation of  $Dep(X, Y|Z)$ , i.e., as  $P(x|y, z) = P(x|z) \vee P(y, z) = 0$  for all  $X$ -,  $Y$ -, and  $Z$ -values  $x$ ,  $y$ , and  $z$ , respectively.

The first axiom of the theory of CBNs is the causal Markov condition (CMC). A causal model  $\langle \mathbf{V}, \mathbf{E}, P \rangle$  satisfies CMC if and only if every variable  $X_i$  in  $\mathbf{V}$  is probabilistically independent of its non-descendants conditional on its direct causes (Spirtes et al., 2000, p. 29). CBNs are causal models that satisfy CMC.

The DAG of a CBN determines the following Markov factorization:

$$P(X_1, \dots, X_n) = \prod_{i=1}^n P(X_i | \mathbf{Par}(X_i)) \quad (1)$$

Another important axiom is the causal minimality condition (Min). A CBN  $\langle \mathbf{V}, \mathbf{E}, P \rangle$  satisfies (Min) if and only if there is no CBN  $\langle \mathbf{V}, \mathbf{E}', P \rangle$  with  $\mathbf{E}' \subset \mathbf{E}$  (cf. [Spirtes et al., 2000](#), p. 31). In other words: If deleting some causal arrow of the CBN's graph would lead to a causal model that violates CMC, then the CBN is minimal, i.e., every arrow is required to prevent some (conditional) independence relation. This means that every arrow is responsible for some probabilistic dependence between the variables it connects. The idea that every causal arrow leaves some probabilistic footprints can also be expressed by the following productivity condition (Prod), which can be proven to be equivalent to Min for CBNs ([Gebharder & Schurz, 2014](#), Theorem 1):

**Prod** A causal model  $\langle \mathbf{V}, \mathbf{E}, P \rangle$  satisfies Prod if and only if  $Dep(X_j, X_i | \mathbf{Par}(X_j) \setminus \{X_i\})$  holds for all  $X_i, X_j \in \mathbf{V}$  with  $X_i \rightarrow X_j$ .

Recall from [section 2](#) that causal exclusion arguments presuppose that a cause contributes at least sometimes something to the occurrence of its direct effects. This somewhat vaguely formulated requirement can be stated more precisely by means of a condition implemented in Prod above: A causal arrow  $X_i \rightarrow X_j$  in a CBN is productive (or, equivalently,  $X_i$  contributes at least sometimes something to  $X_j$ ) if and only if  $Dep(X_j, X_i | \mathbf{Par}(X_j) \setminus \{X_i\})$  holds, i.e., if there are some  $X_i$ - and  $X_j$ -values  $x_i$  and  $x_j$ , respectively, such that  $X_i$ 's taking value  $x_i$  makes a probabilistic difference for  $X_j$ 's taking value  $x_j$  w.r.t. some fixed context  $\mathbf{Par}(X_j) \setminus \{X_i\} = \mathbf{r}$ . We can use this insight to test specific causal arrows for whether they are productive.

Now we also see how Prod can be used to nicely reflect the assumption

(made in both versions of the causal exclusion argument) that properties can cause other properties only if they can contribute something to the occurrence of the latter. In causal Bayes nets terminology this assumption means to only allow for minimal CBNs, i.e., for CBNs that satisfy Prod. Or in other words: Only productive arrows can represent real causal relations.

Let us try to reconstruct both versions of the causal exclusion argument on the basis of the theory of CBNs next. To this end, let our CBN's variable set  $\mathbf{V}$  be identical to  $\{P_1, P_2, M_1, M_2\}$ . How does our CBN's graph have to look like? We have to draw an arrow from  $P_1$  to  $P_2$ , since  $P_1$  is assumed to directly cause  $P_2$ . We also have to draw arrows from  $M_1$  to  $P_2$  and from  $M_1$  to  $M_2$ . These latter two arrows are the ones we want to test for productivity. But how should we represent the double-tailed arrows (indicating supervenience relationships) in our CBN? As [Woodward \(2014, sec. 1\)](#) remarks, there has been little discussion in the causal modeling literature on how to represent supervenience relationships (or other non-causal relationships). The answer to this question is not trivial. I will suggest an answer that requires that we take a closer look at how arrows work in causal Bayes nets. In particular, I will suggest to treat supervenience relationships similar to causal arrows in CBNs. In my argumentation I will not make use of intuitions about how interventions should work together with supervenience relationships. The reason for this is that there is still no consensus about that. It is still unclear whether directly intervening on mental properties is possible, or whether mental properties can only be manipulated by intervening on their physical supervenience bases, whether interventions on mental properties have to be common causes of these mental properties and their supervenience bases, etc. One of the goals of this paper is to implement supervenience dependencies in causal models to answer questions like these. Hence, I cannot let intuitions about how interventions should work together

with supervenience relations enter my argumentation for how to represent them in CBNs.

A nice feature of CBNs is that, due to [Equation 1](#), the conditional probabilities  $P(X_i|\mathbf{Par}(X_i))$  corresponding to the CBN's arrows—these conditional probabilities are also called the CBN's parameters—are stable in the sense that they do not vary when one changes the prior distribution of some non-descendants of  $X_i$ . Let me illustrate this by means of the following simple example: Assume a CBN with DAG  $X \rightarrow Y$ , where  $X$  and  $Y$  are binary variables. According to [Equation 1](#), the CBN's probability distribution factors as  $P(X, Y) = P(Y|X) \cdot P(X)$ . Let us assume  $P(Y|X)$  and  $P(X)$  are specified as follows:

$$\begin{aligned} P(x_1) &= 0.25 & P(y_1|x_1) &= 0.75 \\ P(x_0) &= 0.75 & P(y_0|x_1) &= 0.25 \\ & & P(y_1|x_0) &= 0.5 \\ & & P(y_0|x_0) &= 0.5 \end{aligned}$$

Changing  $X$ 's prior distribution will not have an influence on the model's parameters  $P(Y|X)$ . It will, however, typically change conditional probabilities which are non-parameters.  $P(x_1|y_1)$ , for example, is such a non-parameter conditional probability. It can be computed as follows:

$$P(x_1|y_1) = \frac{P(y_1|x_1) \cdot P(x_1)}{\sum_{x_i} P(y_1|x_i) \cdot P(x_i)} = \frac{0.75 \cdot 0.25}{0.5625} = 0.3 \quad (2)$$

Now, if we change  $P(x_1)$  from 0.25 to 0.5, for example, we get a different conditional probability  $P(x_1|y_1)$ :

$$P(x_1|y_1) = \frac{P(y_1|x_1) \cdot P(x_1)}{\sum_{x_i} P(y_1|x_i) \cdot P(x_i)} = \frac{0.75 \cdot 0.5}{0.625} = 0.6 \quad (3)$$

The stability of a CBN's parameters explained above corresponds to the intu-

ition that each subsystem of a CBN consisting of a variable  $X_i$  and its direct causes  $\mathbf{Par}(X_i)$  represents an autonomous causal mechanism (cf. Pearl, 2000, p. 22). Supervenience relationships, as assumed in the causal exclusion argument, seem to also possess this stability property. Recall that every mental property  $M_i$  is constituted by some physical property (or properties)  $P_i$ . This means that for every  $P_i$ -value  $p_i$  there has to be exactly one  $M_i$ -value  $m_i$  such that  $P(m_i|p_i) = 1$  holds, where the conditional probabilities  $P(m_i|p_i) = 1$  cannot be changed by changing the prior distribution of some non-descendants of  $M_i$ . Moreover, the conditional probabilities  $P(m_i|p_i) = 1$  cannot even be changed by modifying the prior distribution of non-descendants of  $M_i$  in any possible expansion of our CBN. Otherwise  $P_i$  would not constitute  $M_i$ . Constitution is a metaphysical notion. If we would find that  $P_i$  does not determine  $M_i$  anymore when modifying the prior distribution of some non-descendants of  $M_i$  in an expansion of the CBN, we would conclude that we falsely took  $M_i$  as constituted by  $P_i$ . We would conclude that we found some kind of dependence of  $M_i$  on  $P_i$  that just looks like constitution in certain circumstances.

There are (at least) two further reasons for treating supervenience relationships  $P_i \implies M_i$  like causal arrows in a CBN. The first one is that this representation fits the idea of multiple realizability nicely, which typically goes hand in hand with the assumption that mental properties supervene on physical properties. For our causal diagram supervenience means that every  $M_i$ -value change has to lead to some probability change for some  $P_i$ -value. But the conditional probabilities  $P(P_i|M_i)$  do not have to equal 1 or 0. They can vary when  $M_i$ 's value is fixed. This directly corresponds to the multiple realizability intuition. Sometimes  $P_i$  can be changed while  $M_i$  is fixed, or in other words: There may be several instantiations of  $P_i$  that all constitute a certain instantiation of  $M_i$ .

The last point speaking for treating a supervenience relationship  $P_i \implies M_i$

similar to a causal relationship in a CBN is that micro properties are oftentimes understood as causes of macro properties. Friends of non-reductive physicalism could, for example, describe the temperature of a gas in a tank as the effect of the behavior of the gas particles in the tank, etc.

Summarizing, we found some good reasons to treat double-tailed arrows ( $\implies$ ) standing for supervenience relationships like a CBN's causal arrows ( $\longrightarrow$ ).<sup>4</sup> Thus, our CBN also has to feature a double-tailed arrow from  $P_1$  to  $M_1$  and from  $P_2$  to  $M_2$ . So the DAG of our CBN ultimately turns out to be the graph depicted in [Figure 1](#), where the double-tailed arrows technically work exactly like single-tailed arrows. Note that I do not want to claim that supervenience is a special kind of causation here. I rather prefer to stay neutral on that question. My claim is that supervenience relationships, since they have the same formal properties as causal relations, can be modeled and formally represented in CBNs similar to causal relations. [Woodward \(2014\)](#) raised an objection from the perspective of an interventionist theory of causation to treating supervenience relationships like causal arrows. I illustrate his objection and defend my suggestion of how to model supervenience in CBNs in [section 5](#).

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<sup>4</sup>I would like to acknowledge that there may still be good reasons for not treating supervenience relationships like causal relationships in CBNs. So the adequacy of my suggestion is still debatable. Also note that within an interventionist framework (such as [Woodward's 2003](#)) the consequences of the exclusion argument follow straightforwardly if one accepts that supervenience relations behave like ordinary causal relations in CBNs. [Woodward \(2014\)](#) is aware of this fact and no very elaborate further analysis is required to show this. (See [section 4](#) for details.) I am indebted to an anonymous referee for this remark. However, within the CBN framework one would need to add something like [Spirtes and Zhang's \(2011, p. 338\)](#) intervention principle to get these consequences similarly and in a straightforward interventionist fashion. The alternative way to do it consists in using the productivity test I introduced earlier. Using this productivity test has the advantage over introducing an additional intervention principle that it does not make the theory stronger than it has to be.

We now know how our CBN's DAG has to look like. But how should we specify its probability distribution  $P$ ? From the considerations above we also already know that: Assuming the completeness of the physical domain means that there is a sufficient physical cause for every physical property. Physical properties are represented by the variables  $P_1$  and  $P_2$  in our CBN. We assume  $P_1$  to represent  $P_2$ 's sufficient physical cause, meaning that every  $P_1$ -value  $p_1$  is sufficient for  $P_2$  taking a certain value  $p_2$ . This probabilistic constraint that comes with assuming the completeness of the physical is labeled “physical completeness” below.

Our next constraint comes with assuming that every mental property supervenes on some physical property. Mental properties are represented by the variables  $M_1$  and  $M_2$  in our CBN.  $M_1$  is assumed to supervene on  $P_1$ , and  $M_2$  is assumed to supervene on  $P_2$ . That  $M_i$  supervenes on  $P_i$  means in probabilistic terms that every value change of  $M_i$  has to lead to a probability change for some  $P_i$ -values. This constraint is labeled “supervenience” below.

The last constraint comes with the assumption that mental properties are constituted by physical properties. We assume that  $P_1$  constitutes  $M_1$ , and that  $P_2$  constitutes  $M_2$ . Since the constituting property determines the constituted property, we have to assume for our CBN's probability distribution that every value  $p_1$  of  $P_1$  determines  $M_1$  to take a certain value  $m_1$ , and that every value  $p_2$  of  $P_2$  determines  $M_2$  to take a certain value  $m_2$ . This constraint is labeled “constitution” below.

Summarizing, our CBN's probability distribution  $P$  has to satisfy the following probabilistic requirements, where  $i \in \{1, 2\}$ :

**Physical completeness**  $\forall p_1 \exists p_2 : P(p_2|p_1) = 1$

**Supervenience**  $\forall m_i \forall m'_i \exists p_i : m_i \neq m'_i \rightarrow P(p_i|m_i) \neq P(p_i|m'_i)$

**Constitution**  $\forall p_i \exists m_i : P(m_i|p_i) = 1$

Now version (i) of the causal exclusion argument concludes that  $M_1$  cannot cause  $P_2$ , since  $P_2$  is fully determined by  $P_1$  and  $M_1$  has nothing to contribute to whether  $P_2$  occurs. We get the same result from our CBN. We can test the causal productiveness of the arrow  $M_1 \longrightarrow P_2$  by checking whether  $Dep(P_2, M_1 | \mathbf{Par}(P_2) \setminus \{M_1\})$  holds. Let  $p_1$  be an arbitrarily chosen  $P_1$ -value. Due to the completeness of the physical for every  $p_1$  there is exactly one  $p_2$  such that  $P(p_2 | p_1) = 1$  holds, while  $P(p'_2 | p_1) = 0$  holds for all  $p'_2 \neq p_2$ . Now for every  $m_1$  there are two possible cases.

Case 1:  $m_1$  and  $p_1$  are compatible, i.e.,  $P(m_1, p_1) > 0$  holds. In that case conditionalizing on  $m_1$  will not change the conditional probabilities of  $p_2$  or  $p'_2$  given  $p_1$ , i.e., also  $P(p_2 | m_1, p_1) = 1$  and  $P(p'_2 | m_1, p_1) = 0$  will hold, meaning that no  $P_2$ -value depends on  $m_1$  conditional on  $p_1$ .

Case 2:  $m_1$  and  $p_1$  are incompatible, i.e.,  $P(m_1, p_1) = 0$  holds. It then follows from the definition of probabilistic independence introduced earlier that no  $P_2$ -value depends on  $m_1$  conditional on  $p_1$ .

It follows that conditionalizing on  $p_1$  will render  $P_2$  independent from  $M_1$ . Since  $p_1$  was arbitrarily chosen, we can generalize this result: Conditionalizing on any  $P_1$ -value  $p_1$  will render  $P_2$  independent from  $M_1$ , i.e.,  $P_2$  and  $M_1$  are independent conditional on  $\mathbf{Par}(P_2) \setminus \{M_1\} = P_1$ , meaning that the arrow  $M_1 \longrightarrow P_2$  is unproductive.

Since  $P_1$  is assumed to physically (or nomologically) determine  $P_2$ , this result can be generalized for every possible expansion of our CBN, meaning that  $M_1$  cannot cause  $P_2$  in any circumstances.

Version (ii) of the exclusion argument says that  $M_1$  cannot cause  $M_2$ , since  $M_2$  is fully determined by  $P_2$  and, hence,  $M_1$  cannot contribute anything to whether  $M_2$  occurs. This claim is also provable within our CBN. Again, we can test the causal productiveness of the arrow  $M_1 \longrightarrow M_2$  by checking whether

$Dep(M_2, M_1 | \mathbf{Par}(M_2) \setminus \{M_1\})$  holds. Let  $p_2$  be an arbitrarily chosen  $P_2$ -value. Due to the fact that  $P_2$  constitutes  $M_2$ , there is exactly one  $M_2$ -value  $m_2$  for every  $P_2$ -value  $p_2$  such that  $P(m_2|p_2) = 1$  holds, while  $P(m'_2|p_2) = 0$  holds for all  $m'_2 \neq m_2$ . Now for every  $M_1$ -value  $m_1$  there are two possible cases.

Case 1:  $m_1$  and  $p_2$  are compatible, meaning that  $P(m_1, p_2) > 0$  holds. Then  $P(m_2|m_1, p_2) = 1$  and  $P(m'_2|m_1, p_2) = 0$  will hold. Hence, no  $M_2$ -value depends on  $m_1$  conditional on  $p_2$ .

Case 2:  $m_1$  and  $p_2$  are incompatible, meaning that  $P(m_1, p_2) = 0$  holds. From this it follows, again by the definition of probabilistic independence, that no  $M_2$ -value depends on  $m_1$  conditional on  $p_2$ .

Therefore, conditionalizing on  $p_2$  renders  $M_2$  probabilistically independent from  $M_1$ . Recall that  $p_2$  was arbitrarily chosen. Hence, we can generalize our result: Conditionalizing on any  $P_2$ -value will render  $M_2$  probabilistically independent from  $M_1$ , meaning that  $M_2$  and  $M_1$  are independent conditional on  $\mathbf{Par}(M_2) \setminus \{M_1\} = P_2$ . Thus, the arrow  $M_1 \rightarrow M_2$  is unproductive.

Since  $P_2$  is assumed to constitute  $M_2$ , this result can be generalized for every possible expansion of our CBN. This means, again, that  $M_1$  cannot cause  $M_2$  in any circumstances.

The argumentation for the unproductiveness of the causal arrow  $M_1 \rightarrow M_2$  basically follows the same pattern as the one for the unproductiveness of the causal arrow  $M_1 \rightarrow P_2$ : In both cases we have a substructure  $X \rightarrow Z \leftarrow Y$  such that for every  $Y$ -value  $y$  there is exactly one  $Z$ -value  $z$  such that  $P(z|y) = 1$  holds, while  $P(z'|y) = 0$  holds for all  $z' \neq z$ . For every  $Y$ -value  $y$  we distinguish the  $X$ -values  $x$  which are compatible with  $y$  from the ones which are not. Conditionalizing on compatible  $X$ -values in addition to  $y$ —this is case 1 above—will not change the probabilities of  $z$  and  $z'$ , meaning that also  $P(z|x, y) = 1$  and  $P(z'|x, y) = 0$  will hold. So conditionalizing on a  $Y$ -value  $y$

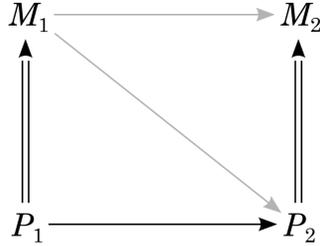


Figure 2: Our productivity test reveals that the grey arrows  $M_1 \rightarrow P_2$  and  $M_1 \rightarrow M_2$  cannot propagate probabilistic dependence between the variables at their heads and tails.

renders  $Z$  independent of those  $X$ -values  $x$  compatible with  $y$ . But  $Z$  will also be rendered independent of those  $X$ -values  $x$  which are incompatible with  $y$ —this is case 2 above. The latter follows directly from the definition of probabilistic independence and  $P(x, y) = 0$ .

Figure 2 summarizes our findings in this section: The grey arrows  $M_1 \rightarrow P_2$  and  $M_1 \rightarrow M_2$  turn out to be unproductive, meaning that they cannot propagate probability between  $M_1$  and  $P_2$  or  $M_2$ . This result holds for every possible expansion of our CBN. If one accepts the plausible assumption that only those properties  $X$  are causes of a property  $Y$ , which have at least sometimes some probabilistic influence on  $Y$  (i.e., if one assumes Prod), then we have to delete the two grey arrows.<sup>5</sup>

Our result may be interpreted as empirically informed support for epiphenomenalism or as evidence against non-reductive physicalism: If causation is

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<sup>5</sup>Note that it is well-known that the causal minimality condition (and, hence, also Prod) may be violated in CBNs whose probability distributions feature deterministic dependencies (cf. Zhang & Spirtes, 2011). The unproductiveness of the causal arrows  $M_1 \rightarrow P_2$  and  $M_1 \rightarrow M_2$  in our causal exclusion CBN is basically such a violation of the causal minimality condition due to deterministic dependencies.

characterized by means of the causal Markov condition and the causal minimality condition, we assume that mental properties are non-identical to their physical supervenience bases, and that every physical property has a sufficient physical cause, then mental properties cannot act as causes for physical properties or as causes for other mental properties—they possess no causal power. This result may, however, also be interpreted as evidence against non-reductive physicalism: By dropping the assumption that mental properties are non-identical to their supervenience bases we can restore mental properties' causal efficacy. In that case we would not represent mental and physical properties by different variables in our causal model, and hence, our causal graph would become “flat”:  $M_1$  would be identical to  $P_1$  and  $M_2$  would be identical to  $P_2$  and we can, of course, have a productive arrow from  $M_1 = P_1$  to  $M_2 = P_2$ .

## 4 Consequences for the causal exclusion debate within the interventionist framework

Shapiro and Sober (2007) and others (e.g., Raatikainen, 2010; Shapiro, 2010; Woodward, 2008) have recently argued that causal exclusion arguments are not valid in the light of an interventionist theory of causation such as Woodward's (2003). This started a still ongoing debate within the interventionist framework about whether mental properties can (in principle) be efficacious causes of physical and other mental properties and about whether the causal efficacy of such mental properties can be accounted for on empirical grounds if the answer to the former question is a positive one.

Within an interventionist theory of causation such as Woodward's (2003), the efficacy of single causal arrows  $X \rightarrow Y$  can only be tested by means of inter-

ventions.<sup>6</sup> The method used for testing whether  $X \rightarrow Y$  is causally efficacious is basically the same as the method for testing whether  $X$  is a direct cause of  $Y$ : One has to fix all elements of one's set of variables  $\mathbf{V}$  of interest different from  $X$  and  $Y$  by interventions and check whether  $Y$  would change when manipulating  $X$  (cf. Woodward, 2003, p. 59). Baumgartner (2010, 2009) convincingly showed that one gets problems with this test for causal efficacy in the presence of supervenience relationships since  $P_1$ 's value cannot be fixed by an intervention while  $M_1$ 's value is changed by another intervention, simply because  $M_1$  supervenes on  $P_1$ . Hence, Woodward's (2003) interventionist account would not only yield that  $M_1$  cannot be causally efficacious, but also that  $M_1$  cannot even be a direct cause of  $P_2$  or  $M_2$ . Baumgartner discusses several possibilities to modify Woodward's (2003) interventionist theory to solve this problem, but concludes that none of these modifications would provide empirical evidence for mental properties' causal efficacy, which is what the non-reductive physicalist wants. Later on Woodward (2014) made explicit that the version of his interventionist theory of causation he presented in (Woodward, 2003) was not intended to be applied to sets containing variables standing in non-causal relationships (such as supervenience relationships). For such variable sets Woodward (2014) proposes a modified interventionist theory that does not require to fix variables standing in non-causal dependencies to  $X$  or  $Y$  by interventions when testing for whether  $X$  is a direct cause of  $Y$ . He argues that this move in principle allows mental properties to be causally efficacious w.r.t. physical properties or other mental properties.

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<sup>6</sup>One may argue that Woodward's (2003) interventionist theory does not exclude tests for whether  $X$  is a direct cause of  $Y$  based on observational data. But this would require additional principles, such as the causal Markov condition etc., not included in Woodward's original interventionist theory. I take it that adding such principles would commit one to a CBN framework.

Baumgartner (2013) highlights the following shortcoming of Woodward’s (2014) modified interventionist theory: One consequence of this theory is that any intervention  $I_{M_1} = i_{M_1}$  on the mental property  $M_1$  has to cause both  $M_1$  and its supervenience base  $P_1$  over two different causal paths ( $M_1 \leftarrow I_{M_1} \rightarrow P_1$ ).<sup>7</sup> So we get  $M_1$  as a direct cause of  $P_2$  if some intervention  $I_{M_1} = i_{M_1}$  leads to a change in  $P_2$ . But can we also show that  $M_1 \rightarrow P_2$  is a productive causal relation—which is what the non-reductive physicalist wants—within Woodward’s modified account? The only possibility to test  $M_1$ ’s direct causal efficacy on  $P_2$  we have is to block all directed paths from  $I_{M_1}$  to  $P_2$  different from  $I_{M_1} \rightarrow M_1 \rightarrow P_2$  by interventions and check whether some intervention  $I_{M_1} = i_{M_1}$  leads to a change in  $P_2$ . But we already know what happens if we carry out this test: Intervening on  $P_1$  freezes  $M_1$  to a certain value and no intervention  $I_{M_1} = i_{M_1}$  can lead to a change in  $P_2$  anymore. There is no other way to test whether  $M_1 \rightarrow P_2$  is productive within an interventionist framework. So it is, at least in principle, possible that the change in  $P_2$  associated with  $I_{M_1} = i_{M_1}$  is solely due to the causal path  $I_{M_1} \rightarrow P_1 \rightarrow P_2$ , while the arrow  $M_1 \rightarrow P_2$  is unproductive. Hence, though Woodward’s modified interventionist theory leads to the consequence that  $M_1$  is a direct cause of  $P_2$ , it cannot lend any support to the efficacy of  $M_1$  on  $P_2$ . One can formulate an analogous argument for the causal arrow  $M_1 \rightarrow M_2$ . So Woodward’s modified interventionist theory seems to have some kind of a blind spot when it comes to determining whether arrows exiting variables whose supervenience bases are also included in the variable set of interest are causally efficacious.

However, one may argue (as Woodward, 2014 does) that the modified interventionist account still renders causal exclusion arguments invalid, since it (at

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<sup>7</sup>Such common cause interventions are called fat-handed interventions in (Baumgartner & Gebharter, 2015).

least in principle) allows for  $M_1$  to be causally efficacious. It is at this point where we can enter the debate. We can say more about  $M_1$ 's causal efficacy than Woodward can: The productivity test carried out in [section 3](#) yields that both arrows are unproductive. So it turns out that both versions of the exclusion argument are valid when modeled in a CBN framework, while they are invalid when applying Woodward's modified interventionist theory of causation.

Which consequences should we draw from this observation for our two versions of the exclusion argument? Recall from [section 1](#) that both theories are treated as tools for providing information about the world's true causal structure as well as the causal efficacy of properties on other properties. Such tools may be better or not so good in providing such information. In case the set of variables  $\mathbf{V}$  whose causal structure should be analyzed contains variables standing in relationships of supervenience, it turned out that the theory of CBNs provides more information about the causal efficacy of some variables. It can be used for determining the causal efficacy of variables whose supervenience bases are included in  $\mathbf{V}$  by means of the productivity test introduced in [section 3](#), while Woodward's (2014) modified interventionist theory keeps silent about the causal efficacy of such variables. But in evaluating the validity of the two versions of the exclusion argument we should use as much relevant information as possible. Hence, we should conclude that both argument versions are invalid within Woodward's modified interventionist framework only because this framework does not come with a test for causal efficacy applicable to mental properties supervening on physical properties. But if we apply the CBN framework we get the relevant information that the arrows  $M_1 \rightarrow P_2$  and  $M_1 \rightarrow M_2$  are unproductive. Thus, we should conclude that both argument versions are valid.

Now, as a final step, let us see what happens if we assume, as interventionists do, that there exists an intervention variable  $I_{M_1}$  for  $M_1$  that is correlated with

$P_2$  within our CBN representation. We add this intervention variable as a direct cause of  $M_1$  to our model. Now, since  $M_1 \rightarrow P_2$  is unproductive, we can conclude that  $I_{M_1}$  can definitely not influence  $P_2$  over path  $I_{M_1} \rightarrow M_1 \rightarrow P_2$ . Hence,  $I_{M_1}$  must influence  $P_2$  over another path. Thus,  $I_{M_1}$  must be a direct common cause of  $M_1$  and of at least one of the variables  $P_1$ ,  $P_2$ , or  $M_2$ . Since  $P_2$  is fully determined by  $P_1$  (completeness of the physical) and  $M_2$  is fully determined by  $P_2$  (constitution), the argumentation pattern described in [section 3](#) can be applied and our productivity test will lead to the result that arrows  $I_{M_1} \rightarrow P_2$  and  $I_{M_1} \rightarrow M_2$  would be unproductive. Hence, the correlation between  $I_{M_1}$  and  $P_2$  can only be due to a causal path  $I_{M_1} \rightarrow P_1 \rightarrow P_2$ , and thus,  $I_{M_1}$  must be a (direct) common cause of  $M_1$  and  $P_1$  such that  $I_{M_1} \rightarrow P_1$  is productive. So up to this point, we arrive at a consequence close to Baumgartner's (2013). The main difference is that Baumgartner only showed that it is possible within the modified interventionist framework that  $I_{M_1}$  influences  $P_2$  solely over path  $I_{M_1} \rightarrow P_1 \rightarrow P_2$ . We were also able to show that  $I_{M_1} \rightarrow P_1 \rightarrow P_2$  is the only path over which  $I_{M_1}$  can be efficacious w.r.t.  $P_2$ .

But we can say even more: The argumentation pattern used to show the unproductiveness of the arrows  $M_1 \rightarrow P_2$  and  $M_1 \rightarrow M_2$  in [section 3](#) can also be applied to  $I_{M_1} \rightarrow M_1$ : Let  $p_1$  be an arbitrarily chosen  $P_1$ -value. Since  $M_1$  is constituted by  $P_1$ , for every  $P_1$ -value  $p_1$  there is exactly one  $M_1$ -value  $m_1$  such that  $P(m_1|p_1) = 1$ , while  $P(m'_1|p_1) = 0$  holds for all  $m'_1 \neq m_1$ . Now for every  $I_{M_1}$ -value  $i_{M_1}$  there are two possible cases.

Case 1:  $i_{M_1}$  and  $p_1$  are compatible, which means that  $P(i_{M_1}, p_1) > 0$ . If this is the case, then also  $P(m_1|i_{M_1}, p_1) = 1$  and  $P(m'_1|i_{M_1}, p_1) = 0$  will hold. Therefore, no  $M_1$ -value will depend on  $i_{M_1}$  conditional on  $p_1$ .

Case 2:  $i_{M_1}$  and  $p_1$  are incompatible, i.e.,  $P(i_{M_1}, p_1) = 0$  holds. Then it

directly follows from the definition of probabilistic independence that no  $M_1$ -value probabilistically depends on  $i_{M_1}$  conditional on  $p_1$ .

Therefore,  $M_1$  is independent from  $I_{M_1}$  when conditionalizing on  $p_1$ . Since  $p_1$  was arbitrarily chosen, we can, again, generalize this result: Conditionalizing on any  $P_1$ -value will render  $M_1$  independent from  $I_{M_1}$ , meaning that the causal arrow  $I_{M_1} \longrightarrow M_1$  is unproductive.<sup>8</sup>

This result can be generalized for every possible expansion of our CBN simply because  $P_1$  is assumed to constitute  $M_1$  and constitution is a metaphysical notion. Hence,  $I_{M_1}$  cannot be a productive direct cause of  $M_1$  in any circumstances.

Figure 3 graphically illustrates our findings, where single-tailed grey arrows, again, represent unproductive (possible) causal relations. For testing  $M_1$ 's causal efficacy on  $P_2$  and  $M_2$  interventionists require interventions  $I_{M_1} = i_{M_1}$  on  $M_1$  which at least sometimes make a difference for  $M_1$ . But, as demonstrated, an intervention variable  $I_{M_1}$  for  $M_1$  can only stand to  $P_1$  in a productive direct causal relationship. It follows that if causation is characterized by CMC and

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<sup>8</sup>One may object that it seems that the productivity test suggested will not work in case  $M_1$  and  $P_1$  are perfectly correlated, meaning that every  $M_1$ -value determines a certain  $P_1$ -value (with probability 1) and vice versa. In such a scenario one could ask why the arrow  $I_{M_1} \longrightarrow M_1$  and not the arrow  $I_{M_1} \longrightarrow P_1$  should be regarded as unproductive. If  $M_1$  and  $P_1$  are perfectly correlated, not only conditionalizing on  $P_1$  will render  $M_1$  independent of  $I_{M_1}$ , but also conditionalizing on  $M_1$  will render  $P_1$  independent of  $I_{M_1}$ . Here is my response: Who argues in such a way seems to have overlooked that the productivity test suggested also makes use of the system of interest's underlying structure. For testing whether  $I_{M_1} \longrightarrow M_1$  is productive we have, according to our productivity test, to check whether  $M_1$  depends on  $I_{M_1}$  conditional on its parents different from  $I_{M_1}$ , i.e., conditional on  $P_1$ . For testing whether  $I_{M_1} \longrightarrow P_1$  is productive, on the other hand, we have to check whether  $P_1$  depends on  $I_{M_1}$  unconditionally (since  $P_1$  does not have any parents different from  $I_{M_1}$ ). We find  $Indep(M_1, I_{M_1} | P_1)$  and  $Dep(P_1, I_{M_1})$  and conclude that  $I_{M_1} \longrightarrow M_1$  is unproductive while  $I_{M_1} \longrightarrow P_1$  is productive.

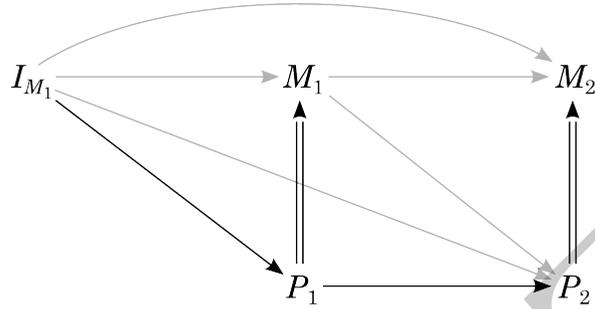


Figure 3: The grey arrows indicate (possible) direct causal relations that cannot propagate dependence between the variables at their heads and tails. So  $P_1$  is the only variable in  $\{M_1, M_2, P_1, P_2\}$  that can be directly intervened on in a productive way.

Prod (which seems to be reasonable when aiming at finding empirical evidence for causal relations  $M_1 \rightarrow M_2$  and  $M_1 \rightarrow P_2$ ), it is impossible to test for whether the arrows  $M_1 \rightarrow P_2$  and  $M_1 \rightarrow M_2$  are productive by means of interventions, even when allowing for common cause interventions  $I_{M_1}$  of  $M_1$  and  $P_1$ .

Note that  $I_{M_1}$ , though inefficacious w.r.t.  $M_1$  over the arrow  $I_{M_1} \rightarrow M_1$ , can still be understood as an intervention on  $M_1$  (since  $I_{M_1}$  might influence  $M_1$  over path  $I_{M_1} \rightarrow P_1 \Rightarrow M_1$ ). So our findings still allow for a change in  $M_2$  or  $P_2$  induced by an intervention on  $M_1$ , and we can still interpret the experimental result that intervening on  $M_1$  leads to a change in  $M_2$  or  $P_2$  as evidence that by intervening on  $M_1$  we can bring about (or at least influence)  $M_2$  or  $P_2$ , respectively. All the causal work, however, is done by the path  $I_{M_1} \rightarrow P_1 \rightarrow P_2$ , and we are not allowed to interpret changes in  $M_2$  or  $P_2$  induced by an intervention  $I_{M_1} = i_{M_1}$  as evidence for the presence of an efficacious direct causal connection  $M_1 \rightarrow M_2$  or  $M_1 \rightarrow P_2$ , respectively. This will, of course, not distress scientists doing experiments too much, since

whether  $M_1$  or  $P_1$  does all the causal work will not make any difference for the experiment's outcome.

Summarizing, our findings strengthen Baumgartner's (2013) results. It is not only the case that until now we do not know how to find empirical evidence for  $M_1$ 's causal efficacy on  $P_2$  or  $M_2$  within an interventionist framework; rather it seems generally (or theoretically) impossible that  $M_1$  has a causal influence on  $P_2$  or  $M_2$ . In addition, a common cause (or fat-handed) intervention  $I_{M_1}$  for  $M_1$  and  $P_1$  cannot directly influence  $M_1$ . This means that attempts to render the causal effectiveness of mental properties on physical properties or on other mental properties plausible on empirical grounds within an interventionist framework seemed to be deemed to failure *ab initio*.

## 5 Woodward's objection to treating supervenience relations like causal arrows

In this section I defend the suggestion to treat supervenience relationships like causal arrows in a CBN (for which I argued in [section 3](#)) against an objection raised by Woodward (2014). Woodward's objection is that treating supervenience like a causal relation would lead to absurd consequences and contradicts experimental practice. Woodward (2014, sec. 6) comes up with the following example to demonstrate this: Assume that high density cholesterol ( $HDC$ ) and low density cholesterol ( $LDC$ ) are both causes of having a heart disease ( $D$ ). While high density cholesterol lowers the probability of heart disease, low density cholesterol raises the probability for heart disease. Let  $TC$  be a variable for total cholesterol that is defined as  $TC = HDC + LDC$ . Hence,  $TC$  will supervene on  $HDC$  and  $LDC$ . Now Woodward assumes that  $HDC$ ,  $LDC$ , and  $TC$  are causes of  $D$ . If we want to represent all of these variables in a single CBN, this

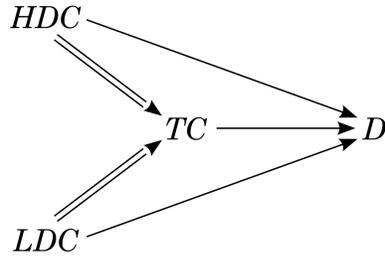


Figure 4: A CBN including  $D$ ,  $TC$ , and  $TC$ 's supervenience base. Double-tailed arrows, again, stand for supervenience relations.

CBN's graph would—following my suggestion to treat supervenience relations like causal relations—look like the one in Figure 4. Again, double-tailed arrows are assumed to technically work exactly like single-tailed arrows.

Now Woodward's (2014) objection against treating double-tailed arrows like causal arrows roughly goes as follows: For testing whether  $LDC$  is a direct (and efficacious) cause of  $D$ , one has to fix all remainder variables by means of interventions and check whether intervening on  $LDC$  leads to a change in  $D$ . But when we run this test, then, since  $TC$  is defined as  $TC = HDC + LDC$ , we are not able to manipulate  $LDC$  when  $HDC$  and  $TC$  are both fixed by interventions. Thus, the interventionist theory of causation would tell us that  $LDC$  has no effect on  $D$ , and, moreover, that  $LDC$  is not even a cause of  $D$ . Similarly it can be shown that neither  $HDC$  nor  $TC$  would have an effect on  $D$  and that neither  $HDC$  nor  $TC$  would turn out to be causes of  $D$  within the interventionist framework. All of these consequences contradict the assumptions made above. Furthermore, treating supervenience relationships similar to causal arrows does not conform to experimental practice. No researcher would seriously consider to fix  $TC$ 's supervenience base  $LDC$  and  $HDC$  when testing  $TC$ 's causal efficacy w.r.t.  $D$ . According to Woodward, this would amount to double counting the effect of  $TC$  on  $D$ . Similar worries apply w.r.t.  $LDC$  and  $HDC$ .

Woodward (2014) interprets this observation as support for his claim that double-tailed arrows standing for supervenience relationships should not be treated like causal arrows and as motivation for modifying his interventionist theory of causation in such a way that it is no longer required to hold fixed variables standing in non-causal relationships (such as supervenience relationships) when testing for causal dependence and efficacy. But does Woodward’s observation really threaten my suggestion to treat supervenience relations like causal arrows in CBNs? I will argue that this is not the case. Actually, the problems Woodward describes arise only within an interventionist framework, but not within the CBN framework. Let me illustrate this by reconstructing the scenario described in the first paragraph of this section as a CBN. This CBN’s graph would, of course, again be the one depicted in Figure 4. Since the possibility to intervene on  $LDC$  and induce changes on  $D$  by means of this intervention when fixing  $HDC$  and  $TC$  by additional interventions is not required within the CBN framework for direct causation, we do not have to infer that  $LDC$  is not a direct cause of  $D$ . The same holds for  $HDC$  and  $TC$ . So we can avoid this problem.

The second problem Woodward (2014) sees is that neither  $LDC$ , nor  $HDC$  or  $TC$  would turn out as efficacious w.r.t.  $D$ . Can we also avoid this problem? We can test each of the causal arrows  $LDC \rightarrow D$ ,  $HDC \rightarrow D$ , and  $TC \rightarrow D$  for productiveness in our CBN. If we do this, we find—by using the argumentation pattern described in section 3—that none of these arrows is productive, simply because every variable’s value is fully determined by the values of the other two variables. What should we make of this observation? First of all, let me emphasize that this situation is not so special that it can only occur in the presence of supervenience relationships. One can easily construct an equivalent (purely) causal model with different variables but with the same topological

structure and the same dependencies in which the double-tailed arrows are replaced by ordinary single-tailed causal arrows. More generally, the productivity test suggested in [section 3](#) tells us that all of a variable's causes are causally inefficacious if every one of these direct causes is fully determined by the other direct causes. What the productivity test would indicate in such a situation is that at least one of the causal arrows should be deleted. (Recall from [section 3](#) that the productivity condition is equivalent with the causal minimality condition.) After deleting one arrow, the productiveness of the remaining arrows may be restored. The same holds for our CBN.

So which arrow(s) should we delete? If we delete  $LDC \rightarrow D$ , then  $HDC \rightarrow D$  and  $TC \rightarrow D$  become productive in the resulting model. If we delete  $HDC \rightarrow D$ , then  $LDC \rightarrow D$  and  $TC \rightarrow D$  become productive. And if we delete  $TC \rightarrow D$ , then  $LDC \rightarrow D$  and  $HDC \rightarrow D$  become productive in the resulting model. Every one of these possible deletions of arrows would result in a causal model that still satisfies the causal Markov condition. If we delete more than one arrow, then the causal Markov condition would be violated (since the resulting graph would imply more probabilistic independencies than featured by our example). So, to account for all the (conditional and unconditional) dependencies among our four variables, we should only delete one arrow. Now we have two possibilities: We delete (i) one of the arrows exiting one of the variables of  $TC$ 's supervenience base, or we delete (ii) the arrow  $TC \rightarrow D$ . If we decide in favor of (i), then we could ask ourselves why we should delete  $LDC \rightarrow D$  rather than  $HDC \rightarrow D$  (or vice versa). Which one of the two arrows we delete seems quite arbitrary. In addition, it would be strange to assume that the macro property  $TC$  and only one of its constituting properties is causally efficacious, while the other one is not. So it seems much more natural to decide in favor of (ii) and delete the arrow  $TC \rightarrow D$  instead. If we do this,

then the resulting CBN gives us everything Woodward (2014) requested except that  $TC$  is causally efficacious w.r.t.  $D$ :  $LDC$  and  $HDC$  are causally efficacious w.r.t.  $D$ , and also  $TC$ -changes are associated with  $D$ -changes, simply because  $TC$  is constituted by  $LDC$  and  $HDC$ .

Our CBN even mirrors scientific practice and provides the correct results about the effects of interventions: An intervention on  $LDC$ , for example, can be modeled by adding an intervention variable  $I_{LDC}$ , which is a direct cause only of  $LDC$ . Intervening on  $LDC$  corresponds to conditionalizing on one of  $I_{LDC}$ 's on-values and will have an effect on  $D$ . This effect solely arises due to the path  $I_{LDC} \rightarrow LDC \rightarrow D$ . There is no double counting involved here. The same holds for an intervention on  $HDC$ : Every effect of an intervention  $I_{HDC} = i_{HDC}$  on  $D$  will solely arise due to the causal path  $I_{HDC} \rightarrow HDC \rightarrow D$ . We can also handle an intervention on the constituted variable  $TC$ . Such an intervention could be represented by an intervention variable  $I_{TC}$ . We add  $I_{TC}$  as a direct cause of  $TC$  and assume that  $TC$  can be influenced by  $I_{TC}$ . By means of the same argumentation pattern applied earlier in such situations, it turns out that the arrow  $I_{TC} \rightarrow TC$  is unproductive, since  $TC$  is constituted by  $LDC$  and  $HDC$  and, hence, determined by  $LDC$  and  $HDC$ . It follows that  $I_{TC}$  must influence  $TC$  over another path. The only possibility to do so is over one of the paths  $I_{TC} \rightarrow LDC \Rightarrow TC$  or  $I_{TC} \rightarrow HDC \Rightarrow TC$ . So  $I_{TC}$  has to be a common cause of  $TC$  and at least one of the variables  $LDC$  or  $HDC$ . Now a change of  $I_{TC}$ 's value may, of course, not only influence  $TC$  over one of these paths, but also  $D$  over one of the paths  $I_{TC} \rightarrow LDC \rightarrow D$  or  $I_{TC} \rightarrow HDC \rightarrow D$ . So, again, we get everything Woodward requested except the productive causal arrow  $TC \rightarrow D$ . We can even say that an intervention on  $TC$  leads to a change in  $D$ , which might be something we find out in doing an experiment. But as in the case of the causal exclusion scenario, we should

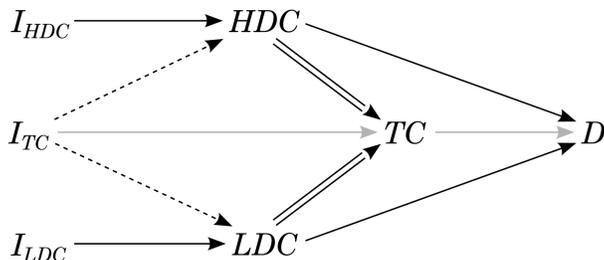


Figure 5: Grey arrows stand for (possible) direct causal relations that cannot propagate dependence between the variables at their heads and tails.  $I_{TC}$  is a fat-handed intervention, i.e., a common cause of  $TC$  and at least one of the variables  $LDC$  or  $HDC$ . This is indicated by the dashed arrows.

not read this as evidence for  $TC$  being causally efficacious w.r.t.  $D$ . The whole causal work is done by one or both of the causal paths  $I_{TC} \rightarrow LDC \rightarrow D$  and  $I_{TC} \rightarrow HDC \rightarrow D$ . But again, this should not be too cumbersome for the scientist. Whether the intervention is directly efficacious w.r.t.  $TC$  or effects  $TC$  only over one or both of its supervenience bases will not be of much interest to her, simply because it will not make any difference for the experiment's outcome. Note that also testing whether  $D$  can be influenced by manipulating  $TC$  does not involve any double counting.

Summarizing, the problems Woodward (2014) describes arise only within an interventionist framework and not when treating supervenience relationships like causal arrows in a CBN, as I have suggested in section 3. These findings are graphically illustrated in Figure 5. There may be other objections against my suggestion to treat supervenience relationships like causal arrows in CBNs. But as far as I can see such objections still wait to be discovered and formulated.

## 6 Conclusion

In this paper I reconstructed two variants of the causal exclusion argument within the theory of CBNs. This seems promising since the theory of CBNs probably gives us the best grasp on causation from an empirical point of view we have so far. The reconstruction required to represent Kim's (2005) diagram as a CBN. Causal relations in Kim's diagram can straightforwardly be represented by a CBN's causal arrows. I argued that since relationships of supervenience behave exactly like causal arrows in CBNs, the double-tailed arrows standing for such relationships can be treated like ordinary single-tailed causal arrows in a CBN. The CBN's probability distribution is constrained by the assumptions that  $P_1$  fully determines  $P_2$  (completeness of the physical), that every change of  $M_i$ 's value leads to a probability change of at least one  $P_i$ -value (supervenience), and that  $M_i$  is fully determined by  $P_i$  (constitution). For this CBN it turned out that both causal arrows  $M_1 \rightarrow P_2$  and  $M_1 \rightarrow M_2$  are unproductive, meaning that they cannot transport probabilistic dependence. Because of the very nature of how  $P_2$  depends on  $P_1$  (completeness of the physical) and of how  $M_i$  depends on  $P_i$  (constitution), this result generalizes to all expansions of the CBN. Thus, both variants of the exclusion argument are valid under the proviso that causes contribute at least sometimes something to the occurrence of their effects.

In section 4 I discussed the consequences of these findings for the discussion of causal exclusion arguments in the light of an interventionist theory of causation. Our findings strengthen Baumgartner's (2013) criticism of Woodward (2014). Baumgartner concludes that it is unclear how one could provide empirical evidence for a mental property's causal efficacy on physical properties within an interventionist framework. We could show that such a mental property  $M_1$ 's causal efficacy on a physical property  $P_2$  or on another mental property  $M_2$  cannot be empirically supported at all, simply because causal arrows  $M_1 \rightarrow P_2$

and  $M_1 \longrightarrow M_2$  are always unproductive, meaning that they do not imply any correlation between  $M_1$  and  $P_2$  and between  $M_1$  and  $M_2$ , respectively, in any circumstances. Moreover, it could be shown that it is generally impossible to have a causally productive direct intervention on a mental property, and thus, that attempts to investigate whether mental properties can be causally efficacious within an interventionist framework were somehow unlucky from the beginning.

In the last section of this paper I discussed an objection against modeling supervenience relationships similar to causal arrows raised by [Woodward \(2014\)](#). I argued that Woodward's objection does not pose a threat to my suggestion of how to represent supervenience in CBNs. His objection works only within an interventionist framework. The problems he highlights do not appear in CBNs in which double-tailed arrows indicating supervenience relationships are treated similar to single-tailed arrows standing for direct causal dependencies.

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